Updates on the Assessment and Validation Process for the Grant of 2014 BBB
Objectives

- Discuss the eligibility requirements for the grant of Performance-Based Bonus for FY 2014
- Share other concerns and issues related to PBB 2014.
To qualify for the PBB, agency must:

- achieve at least 90% for each of the Congress-approved targets for the delivery of the MFOs (Major Final Outputs) under the PIB of 2014 and the common targets for STO (Support to Operations) and GASS (General Administrative Support Services);
- achieve at least 90% for each of the targets agreed with the President under the five KRAs of EO 43.
To qualify for the PBB, agency must:

- meet 100% of all of the four good governance conditions for 2014 under the performance drivers of the RBPMS;
(NEW!) To be eligible for a higher percentage distribution ranking, the department/agency must also:

- achieve at least 90% for each of the targets of the head of the agency as reflected in the OP Planning Tool Form 1 and under the AOP 38 EODB

- “Agencies that meet at least 90 percent of each of the targets other than those in the Congress-approved Performance Informed Budget (PIB) shall force rank the bureaus/delivery units eligible to the PBB according to the following: the top 15 percent as “best,” 30 percent as “better” and 55 percent as “good.” At the individual performance level, the latest guidelines also provide higher distribution ranking for employees in “best” and “better” delivery units.” (MC 2014-1 IATF)
FY 2014 Performance Targets
(Form A)

- **MFO Indicators** (NEW) – 2014 Performance Informed Budget approved by Congress; ease of doing business targets

- **STO Indicators** (NEW) – One common STO indicator which is the establishment of Quality Management System aligned with ISO standards or continuing certification of one frontline service, if applicable; another target identified by the agency head based on his/her priority agenda *(not really required this year, but task force will still evaluate it even when it is not reported)*
FY 2014 Performance Targets (Form A)

- **GASS Indicators** (NEW)
  - “Obligations BUR”: obligations against all allotments issued for FY 2014
  - “Disbursement BUR”: disbursement against obligations (based on 2013 PBB Guidelines)
  - Compliance with COA reporting requirements (including the Report on Ageing of Cash Advances)
FY 2014 Performance Targets
(Form B)

- **Agency Priority Program/Project** targets as agreed with the President under the Five KRAs of EO 43

- **Additional targets of the Head of the Agency** as reflected in the OP Planning Tool Form 1 (NEW)

- **Ease of Doing Business Targets** for agencies that are a part of the inter-agency task force on Ease of Doing Business (AO 38) (NEW)
Good Governance Conditions for FY 2014

- Update/Maintain Agency Transparency Seal (Sec. 91 of GAA or RA 10633)
- Maintain/Update PhilGEPS posting (Revised IRR of RA 9184)
- Maintain/update Citizen’s Charter or its Equivalent, Service Charter completing the reflection of 3 improvements in the frontline services specified in the 2013 PBB guidelines (MC 2013-1) (RA 9485)
- Compliance with submission and review of SALN of all employees and officials (RA 6713)
Major Changes in 2014 PBB Process

- Target payouts on the following schedule:
  - December 29, 2014 (for priority agencies)
  - February 27, 2015
  - March 30, 2015
  - April 30, 2015

- Affirm enforcement of powers of validating agencies

- Allow agencies to cure deficiencies/comply with the government performance tools within the prescribed period
‘Priority Agencies’

- Agencies expected to submit accomplishment reports (Forms A, A1 and B) on December 10, 2014. Submission after this date, will exclude the agency from the priority list.
- Expected payout is on December 29, 2014 provided that agency is compliant of all 2014 PBB requirements.
- CSU is not a “priority agency”
### Priority Agencies

#### DEPARTMENTS
1. Department of Energy
2. Office of the Vice President

#### OTHER EXECUTIVE OFFICES
3. Career Executive Service Board (CESB)
4. National Library of the Philippines (NLP)
5. National Security Council (NSC)
6. Office of the Presidential Adviser on the Peace Process (OPAPP)
7. Presidential Management Staff (PMS)

#### GOCCs COVERED BY DBM
8. National Kidney and Transplant Institute (NKTI)

#### STATE UNIVERSITIES AND COLLEGES
9. Bicol University (BU)
10. Bulacan Agricultural State College
11. Capiz State University
12. Don Mariano Marcos Memorial State University
13. Samar State University
14. Western Visayas State University
Review and Assessment of 2014 PBB Accomplishments based on Forms A, A1 and B

1. Agencies shall submit to the AO25 Secretariat two (2) duly signed original hard copies and e-copy of the following on December 10, 2014 or January 30, 2015:
   - Forms A and A1
   - Form B reflecting accomplishment of *Priority Programs/Projects, Ease of Doing Business and/or OP Planning Tool* (as applicable).
Review and Assessment of 2014 PBB Accomplishments based on Forms A, A1 and B

2. Agencies should already include explanation and supporting documents for targets that were not met, upon submission of Forms A, A1 and B.


4. Agencies shall be notified by the AO25 IATF of the results of the assessment.
Review and Assessment of 2014 PBB Accomplishments based on Forms A, A1 and B

On Submission of Mandatory Financial and CA Ageing Reports under GASS

- The following COA Financial Reports should have been submitted to COA last March 31 or April 30, 2014 (for big agencies):
  - Balance Sheet
  - Statement of Cash Flow
  - Statement of Income and Expenses
  - Statement of Government Equity, and
  - Notes to Financial Statements
Review and Assessment of 2014 PBB Accomplishments based on Forms A, A1 and B

- Report on Ageing of Cash Advances of transactions covering the period of January 1 to November 15, 2014 should be submitted to their respective resident COA auditors **not later than December 1, 2014**.
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Review and Assessment of 2014 PBB Transparency Seal

- Agencies should ensure that the following documents are posted in their Agency Transparency Seal before December 1, 2014:

1. Agency mandates, functions, and contact information of officials
2. Statement of allotment and obligations (FY 2011-2013)
4. Approved budget for 2014 and MFO targets
5. Major programs and projects classified according to 5 KRAs
6. Program/project beneficiaries
7. Project/program status
8. Annual Procurement Plan
Review and Assessment of 2014 PBB Transparency Seal

- DBM-OCIO shall conduct validation starting December 1, 2014 and submit list of non-compliant agencies to the AO25 IATF.
- Agencies included in the non-compliant list should immediately clarify with DBM-OCIO the nature of deficiencies and how to remedy them.
Agencies should ensure that they have established a Citizen’s Charter/Service Charter or its equivalent.
Review and Assessment of 2014 PBB
Citizens’ Charter

- Before December 1, 2014, agencies should ensure that their Citizen’s Charter/Service Charter should be:
  - posted as information billboards in all the service offices that deliver frontline services.
  - positioned at the main entrance of the office or at the most conspicuous place of all the said service offices.
  - written either in English, Filipino, or in the local dialect and published as an information material (e.g. booklet or brochure).
  - uploaded in the agency’s website and accessible to the public.
CSC shall conduct validation starting December 1, 2014 and submit list of non-compliant agencies to the AO25 IATF.

Agencies included in the non-compliant list should immediately clarify with CSC the nature of deficiencies and how to remedy them.
Review and Assessment of 2014 PBB Submission of SALN

- Agencies should have filed 2013 SALN by June 30, 2014.
- Certificate of Compliance for SALN submission and list of SALN filers/non-filers should have been submitted to the AO25 Secretariat on July 31, 2014.
The following SUCs have not yet submitted the PBB-2014 SALN COC and list of SALN filers/non-filers:

- Southern Luzon State University
- Occidental Mindoro State College
- Cebu Technological University
- Naval State University
- Samar State University
- Southern Leyte State University
- Jose Rizal Memorial State University
- Sulu State College
- Camiguin Polytechnic State College
- Davao Oriental College of Science and Technology
- Mindanao State University
- Adiong Memorial Polytechnic College
- Cotabato City State Polytechnic College
- Tawi-Tawi Regional Agricultural College
Review and Assessment of 2014 PBB PhilGEPs Posting

- The department/agency shall certify PhilGEPS compliance for FY 2014 through a certification to be issued by the BAC Chairman and HOPE.
- Agencies shall submit to PhilGEPS, e-copies in PDF file of PhilGEPS COC on December 1, 2014. Should be signed by HOPE and BAC Chair.
Review and Assessment of 2014 PBB PhilGEPS Posting

- For agencies with attached/bureaus/regional/field offices:
  - The heads of attached agencies through their respective BACs shall prepare a separate PhilGEPS certificate of compliance.
  - The Mother Agency should submit the consolidated PhilGEPS COC to PhilGEPS on December 1, 2014.
  - Incomplete and non-submissions will be considered as non-compliant.
Review and Assessment of 2014 PBB PhilGEPs Posting

- Certificate of compliance with PhilGEPS (covering transactions from January 1 to Nov. 15, 2014) shall be generated from www.philgeps.gov.ph.
Clarifies the Nature of Deficiency in Good Governance Conditions except SALN and CA Ageing Report

Updates Transparency Seal and Citizen’s Charter *(before Dec. 1, 2014)*

Submits COC to PhilGEPS *(Dec. 1, 2014)*


Validation of Compliance with:

- a. PhilGEPS
- b. Citizen’s Charter *
- c. Transparency Seal *
- d. SALN
- e. CA Ageing Report

* COC submission not required

**Clarifies the Nature of Deficiency in Good Governance Conditions except SALN and CA Ageing Report**

**Submits Accomplishment Report (Forms A, A1 and B) *(Jan. 30, 2014)***

Review and Assessment of Accomplishments

Met all targets? YES

NO


Disqualify from the grant of 2014 PBB


Discuss with:

- a. BMB concerned – for deficiency/ies in MFOs
- b. PMS – for deficiency/ies in Priority Programs/Projects January to April 2015

Submit recommendation for IATF decision

Eligible for PBB Payout on
Feb. 27, 2015
Mar. 30, 2015
Apr. 30, 2015

Notice of Disqualification *(February 2015 March 2015 April 2015)*
Other Concerns Taken Up

- No change can be made in the targets reflected in the GAA/NEP. But amendments can be made in the accomplishment report.
- Agency should make explanation on why it failed to meet the target/s and why it surpassed the target.
- Delivery units that did not meet targets will be eliminated from the ranking.
- On the computation of the average percentage passing in Licensure Exams (MFO 1.2), only first-time takers shall be considered.
Other Concerns Taken Up

- Initial ‘negative list will be issued on Dec. 15 (Good Governance Conditions).
- Task Force is reviewing the proposal of increasing the amount of incentive across the board.
- Performance is reflected in the meeting of deadlines, criteria set out by the task force.
- Members of the PBB Team should be permanent for continuity. We may include more members.
Other Concerns Taken Up

- If other campuses have websites, they can put up their own transparency seal.
- Agencies may include other information in the transparency seal.
- PBB focal persons/alternate focal persons/spokespersons will meet Dec. 4 with AITF.